# Without Consent

An analysis of student directory information practices in U.S. schools, and impacts on privacy

Resources for Schools, Students, and Parents

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## Part V. Documents and Data Related to the Research

### I. Printable Best Practices for Educational Institutions

#### **FERPA Notice**

#### **General Best Practices**

- It is a best practice for mandatory FERPA notices to be posted online in a prominent location year round.
- Although it is not a best practice for general FERPA notice, we recommend institutions provide opt out forms along with the general FERPA notices.

### Best practices: Postsecondary institutions

- It is a best practice for the mandatory annual FERPA notice to be posted online in a prominent location year round.
- Although it is not mandatory, we recommend institutions provide FERPA opt out forms along with the general FERPA notices.
- Provide a permanent online repository of policies for the handling of student data, including FERPA. Ensure that all FERPA forms, including opt out, are available on the same webpage. Provide a "One Stop Shop" FERPA hub.
- Keep responsibility for similar policies in the same office, such as the registrar. There should be a list of school officials who can answer questions from students and parents. Links from the Registrar's site could easily become a quasi-standard location for postsecondary schools to post FERPA data. This would provide helpful consistency for students.

#### **Best Practices: Primary and Secondary institutions**

- Provide parents, students, and the public with prominent online FERPA notice, and online FERPA opt out forms. In today's digital world, all FERPA materials online must be available on a public-facing part of the school website. Parents must be able to easily find FERPA materials before they enroll their children in a school. If the school runs a non-public school platform or student portal, the school will still need to ensure that in addition to school portal activity around FERPA, that there is publicly available notice on the website.
- Prominent FERPA notice entails providing a clearly labeled link from the home page directly to the FERPA annual notice. Or, a search for FERPA in a search box should uncover the pertinent information. Parents and students should not need to click through websites and do investigative searches to find the annual FERPA notice.
- Use consistent terms: FERPA, directory information opt out, or directory information restriction are the most commonly used terms. It would be helpful for the Department of Education to standardize the terminology so that students and parents see the same terms at all schools.
- Put all relevant FERPA forms and information in one prominent location online that is publicly available.
- Notice of FERPA policies and opt out should be prominent and should remain online year-round. Many K-12 schools provide paper forms or email forms to parents. A best practice is to provide FERPA annual notice linked from a prominent place on the home page at all times in addition to the paper forms.
- Use consistent terms: FERPA, directory information opt out, or directory information

restriction are the most commonly used terms. It would be helpful for the Department of Education to standardize the terminology so that students and parents see the same terms at all schools.

- Put all relevant FERPA forms and information in one prominent location online that is publicly available. Parents and students who are relocating or thinking of a local move need to be able to see FERPA forms readily online.
- To serve the needs of those who are highly adapted to digital technologies, educational institutions should ensure FERPA notices are readily available online and can be read on mobile devices as well as laptop computers. School web sites are key for providing prominent links to FERPA annual notices that are accessible to the public and students.

## Information that is Shared without an Opt Out in Place

- **Directory information should follow a minimum necessary rule**. Expansive inclusions of students' gender, primary language, and place of birth are not necessary. Schools can use this data, but including these data fields in directory information and releasing it to third parties is unnecessary and therefore not a good practice because routinely its inclusion is not required to achieve the goal of the school through disclosure.
- Home address of a student placed in directory information presents a safety risk for some students and parents. Both schools and the U.S. Department of Education should recognize a modern understanding of safety considerations attached to publicly releasing home address information. Not only is the student potentially put into a dangerous situation, their family members who may also have risks associated with others knowing their home addresses may also be endangered. For example, judges, elected officials, police officers and victims of stalking or other crimes may be put at risk.
- Photographs of students released through directory information create high risks to all students. Photographs of students are riskier to release because of the possibility of permanent storage and use by commercial and other biometric systems. The photographs may be input for web scraping tools that can undertake biometric analysis. It is a best practice to not include student photographs in directory information.
- All directory information, prior to being designated, needs to undergo a safety review.

  Home address, date of birth, gender, and other data are questionable for inclusion in directory information.

**Example**: One sampled K-12 school district includes a minimal amount of data as directory information.

- Student's name
- Street address
- Telephone number
- · School attended
- · Grade level.

This is a step in the right direction of a best practice but for the inclusion of street address and, perhaps, telephone number. What this example shows is that directory information can include just a few data elements about each student.

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### How Much Time Do Parents or Eligible Students Have to Opt Out?

Best Practices for Time Allowed for FERPA Opt Out at all educational institutions:

- Directory Information Opt Outs should be allowed all year by all institutions covered under FERPA; this is a baseline protection that needs to be updated in the FERPA guidance.
- Expedited opt outs should be made available for vulnerable people and populations. If in the event that a safety situation, data breach, or a toxic data leak traceable to directory information that has been disclosed, those at risk need to be provided immediate means of masking or hiding their data.

# Access to FERPA Opt Out Forms

**Best Practices for Access to Opt Out Forms:** 

- It is a best practice for educational institutions to post a FERPA opt out form online.
- It is crucial that all educational institutions post FERPA opt out forms online in a way that is publicly accessible and does not require a password or registration.
- FERPA opt out forms should be posted prominently, and should be labeled clearly. Parents and students should be able to access the form from the search function on the web site. If the web site does not have a search function, the FERPA opt out notices and policies should be posted with a prominent link on the home page of the school web site.
- Ideally, the FERPA opt out forms will be posted in the context of FERPA annual notice, and other FERPA-related information.
- Paper opt out forms should be made available for individuals who do not have online access.
- For postsecondary institutions, the FERPA opt out form should have a publicly available "home base," preferably the registrar's page.
- For primary and secondary institutions, the FERPA opt out form should be accessible to the public, even if a platform is in use at the school web site.
- FERPA opt out processes need to specifically accommodate individuals with disabilities or limitations.

# Is the FERPA Opt Out Process Known?

#### **Best Practices for Notification of Opt out Procedures:**

- It is a best practice for schools to provide information about how to opt out on the annual FERPA notice.
- It is a best practice for schools to provide a permanent online home for information about FERPA opt out procedures and make that accessible to the public.
- Primary and secondary schools in particular noted to WPF researchers that they communicate
  FERPA opt out procedures directly to parents via email. Direct notification exceeds FERPA standards, but for school districts that are resource-scarce or in the midst of transitioning to electronic systems, direct emails to ensure notification are a good practice. The practice may not be
  sustainable at very large institutions, but it is a sustainable practice for very small or small institutions or districts.
  - o It is a best practice to notify students regarding accommodations for opting out for individuals who may not have the capacity to write their own letter. This appears to be an

overlooked issue. Not all parents or eligible students will be comfortable figuring out what a FERPA opt out letter should say, or even where to start. Not providing an opt out form may prove to be an insurmountable opt out form for some students. This should be considered as part of the institution's decision-making process regarding opt out procedures.

# **Content of FERPA Opt Outs**

#### **Best Practices**

- It is a best practice to provide more choices for selecting categories of directory information on FERPA opt out notices.
- It is a best practice to revise, if possible, "all-or-nothing" FERPA opt out notices to provide granular choices (Yes / No) about individual data categories designated by the school for inclusion in directory information.
- It is a best practice to provide detailed categories that students can choose to opt out of. Our research indicated that integrated student information systems, particularly at the postsecondary level, may offer very granular and extensive opt outs compared to standard FERPA opt out forms. It is a best practice to use integrated systems and platforms to make FERPA opt out more accessible and more adaptive.

# Does the FERPA opt out contain nudges?

#### **Best Practices:**

- Students should not be discouraged from utilizing their rights under FERPA by the use of subtle or overt negative language or "nudges."
- Schools need to consider that some students want FERPA opt outs because of serious safety considerations. Schools should ensure that they present a balanced view of FERPA opt outs.

#### **Online Student Directories:**

#### **Best Practices:**

- Because of the potential risks to student safety, it is a best practice for educational institutions to publish their online student directories privately. Only authenticated users (e.g., faculty, staff, and students) should have access,
- It is a best practice to require knowledge of a student's last name for searching, even if the directory requires authentication and is not open to the public.
- Every effort must be made to prevent "site scraping" of student contact information in online student directories. Schools need to utilize strong anti-scraping software and techniques as a preventive security measure.

# Data Brokers and the Acquisition and Use of Students' FERPA Directory Information

#### **Best Practices:**

• As a best practice, States should consider additional protections for the information of minors in light of the new information that has become available that proves the presence of

#### the information of minors in data broker databases.

- New York State's Education Department has adopted an amendment, which specifically prohibits the sale of personally identifiable student data. This is a policy best practice, and is the kind of structural protection from onward transfer of student data is needed as a best practice to protect directory information that parents or students did not opt out of. Directory information does not have the same restrictions as protected student record information does under FERPA.
- Schools should review all contracts with third parties, including vendors. If a vendor is utilizing student directory information, schools should take steps to ensure that directory information is specified as restricted in its use by the vendor and restricted from onward uses. Directory information does not enjoy the same levels of protection as protected student information under FERPA.
- Schools should take great care to not release directory information subject to opt out restrictions to third parties. Schools should review contracts and procedures to ensure directory information that is subject to restrictions (opt out) is secured and only released per the regulations.
- Because data brokers can acquire information from school web sites, it is a best practice for schools at all levels to utilize anti-scraping software and techniques to protect student information posted on school websites. Student photographs, names, and other information can be and are being scraped from school websites unless schools take active steps to restrict this activity. Scraped data can be used for many years. Protections include placing all student data and photographs in a protected area of the webs site and only allowing authenticated access to that area
- **Directory information should follow a minimum necessary rule**. Schools are not required to share directory information. Whenever possible, this should be the norm, not the exception.

#### Student Biometric Data and FERPA

#### **Best Practices:**

- Schools should not designate *photographs* of students as directory information. This has, to date, been a significantly overlooked risk by schools.
- Schools should not post photographs of children on publicly accessible websites that can be scraped for inclusion in biometric test databases. We recognize that it is not much fun to omit student images from communications about school activities. A balance needs to be found due to the very real risks involved with public posting of images.
  - Newsletters that contain the images of students under the age of 18 can be emailed directly to parents and students.
  - O Special awards and honors ceremonies can be posted at very low image quality, so that biometric measurements of such photographs will be rendered ineffective.
  - Schools can post their messages that contain student photographs behind password-protected areas of the school website.
- In general, it is a best practice to avoid making photographs of students under the age of 18 widely available online.

# Recommendations for Private Schools, or any Educational Institution not covered under FERPA Regulations

#### **Best Practices:**

- It is a best practice for private schools or other non-FERPA covered entities to conduct a data privacy impact assessment (DPIA). Conduct the DPIA regarding student information, and pay particular attention to sensitive information such as student health data.
- It is a best practice to understand the State privacy laws that apply to your educational situation.
- Create procedural and administrative rules to manage the information that would, in other settings, be held under FERPA.
- Review the recommendations regarding student directory information, data brokers and biometrics- the same concerns about implications and impacts of dissemination of student data will apply to student information in a non-FERPA context.

# II. Printable FERPA Opt Out Form

# **FERPA Directory Information Opt out Form**

NAME (PRINTED)	STUDENT ID:
Notice of Directory Information	n Opt Out
student's education records are maint circumstances listed in that law, will a written consent. The law, however, do out obtaining the prior consent of the directory information without your p tion by signing the Form below. Directory information, in accordance	tional Rights and Privacy Act of 1974 (FERPA), as amended, a cained as confidential and, except for a limited number of special not be released to a third party without the parent/student's prior es allow schools to release student "directory information" wither parent/student. If you do not want the release of certain types of prior consent, you may choose to "opt out" of this FERPA exceptory information of a student who has opted-out from the release ce with this policy/procedure for opting out, will remain flagged go be removed by completing and submitting a revocation of the
TO: [SCHOOL NAME]	
Information under FERPA. I understate cannot be released to third parties with or permitted under FERPA to release checked directory information will not Form until my opt- out request is resold number because it is necessary idedirectory information is released priores.	wing personally-identifiable information identified as Directory and that upon submission of this Form, the information checked thout my written consent or unless the School is required by law such information without my prior written consent; and that the ot otherwise be released from the time the School receives my sinded. I understand that I may not opt out of use of my student entifying information for the School. I further understand that if ir to the School receiving my opt- out request, the School may not irectory information. I understand that I may request and chalse used by contacting the School.
Check here to opt out of all d	irectory information identified below
or Check the individual boxes below	w to selectively opt out of information sharing
Name	Most recent institution attended
Telephone listing(s)	Weight / height
Photograph	EnrollmentStatus (e.g. full-time,part-time)
Date of birth	Class standing (e.g. sophomore)
Place of birth	Most recent educational agency or institution attended
	Nost recent educational agency of institution attended
Permanent or home address	Participation in officially recognized activities and
Permanent or home address E-mail address	
	<ul><li>Participation in officially recognized activities and sports</li><li>Degree(s) received</li></ul>
E-mail address	Participation in officially recognized activities and sports

If under 18, a parent or guardian must sign to opt the student out.

# III. Examples of FERPA Web Sites and Notices

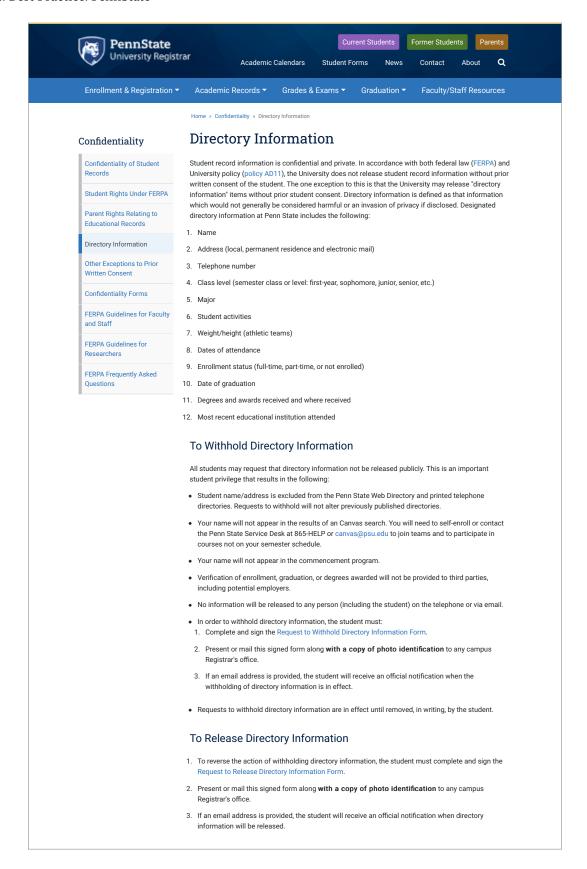
# A. Postsecondary Institutions: Best practice examples.

1. Best Practice: University of Tennessee, Knoxville.

	ner Student WAIVEI	R OF PRIVACY RIGHTS a	nd
		ARY INFORMATION (FE	
Current or Former Student Name	<b>:</b>		
Student Identification Number:	OOO- Social Security Number may	not be used for identification purposes	
Month and Year of Birth:			
Current Email Address:			
Phone Number:			
I,		horoby waiyo my privo oy misht	c (purcuent to the
This waiver shall be considered <b>valid for</b> in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide:  * This form must be submitted in	person by the current/form	er student name and secret wor or discuss the student discipling	rd before a Student ary record; a Social ervices Building, by ma
in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide	provide the current/form ember may release and/ontification purposes. person by the current/form entconduct@utk.edu from	er student name and secret wor or discuss the student discipling	rd before a Student ary record; a Social ervices Building, by ma
in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide:  * This form must be submitted in to the address below, or by email to: stude	provide the current/form ember may release and/entification purposes.  person by the current/feentconduct@utk.edu from	er student name and secret wor or discuss the student discipling	rd before a Student ary record; a Social ervices Building, by ma
in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide  * This form must be submitted in to the address below, or by email to: stude  Name(s) of authorized recipient(s)  Relationship (self, parent, attorned)  Secret word for third party release	provide the current/form ember may release and/entification purposes.  person by the current/form entconduct@utk.edu from entconduct@utk.edu from ey, etc.):	er student name and secret wor or discuss the student discipling	rd before a Student ary record; a Social ervices Building, by ma email.
in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide  * This form must be submitted in to the address below, or by email to: stude  Name(s) of authorized recipient(s)  Relationship (self, parent, attorned)  Secret word for third party release	provide the current/form ember may release and/ontification purposes.  person by the current/form entconduct@utk.edu from the entconduct@utk.edu from the entconduct.  e:  nust be provided by above-named recipions.	er student name and secret wor or discuss the student disciplina ormer student to 409 Student S in the current/former student's	rd before a Student ary record; a Social ervices Building, by ma email.
in writing, prior to such date. Such inform  The person(s) listed below must price to Conduct & Community Standards staff mreather Security Number may not be used for idea.  * This form must be submitted in to the address below, or by email to: study Name(s) of authorized recipient(standards).  Relationship (self, parent, attorned Secret word for third party release Secret word for third party release Secret word in Recipient's contact information (phone number, email, mailing and General purpose for release of information	provide the current/form ember may release and/entification purposes.  person by the current/feentconduct@utk.edu from the entronduct@utk.edu from the entronduct.  e:  nust be provided by above-named recipient didress, etc.):	er student name and secret wor or discuss the student disciplina ormer student to 409 Student S in the current/former student's	rd before a Student ary record; a Social ervices Building, by ma email.
in writing, prior to such date. Such inform  The person(s) listed below must price to Conduct & Community Standards staff mreather Security Number may not be used for idea.  * This form must be submitted in to the address below, or by email to: study Name(s) of authorized recipient(standards).  Relationship (self, parent, attorned Secret word for third party release Secret word for third party release Secret word in Recipient's contact information (phone number, email, mailing and General purpose for release of information	porovide the current/form ember may release and/ontification purposes.  person by the current/form entconduct@utk.edu from the current/form entconduct.  person by the	er student name and secret wor or discuss the student disciplina ormer student to 409 Student S in the current/former student's	rd before a Student ary record; a Social ervices Building, by ma email.
in writing, prior to such date. Such inform  The person(s) listed below must p Conduct & Community Standards staff m Security Number may not be used for ide  * This form must be submitted in to the address below, or by email to: stude  Name(s) of authorized recipient(s)  Relationship (self, parent, attorned Secret word for third party release Secret word:  Recipient's contact information (phone number, email, mailing access to complete	porovide the current/form ember may release and/ontification purposes.  person by the current/form entconduct@utk.edu from the current/form entconduct.  person by the	er student name and secret wor or discuss the student disciplina ormer student to 409 Student S in the current/former student's	rd before a Student ary record; a Social ervices Building, by ma email.

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#### 2. Best Practice: PennState



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#### Request to Withhold Directory Information

Please print, sign, and return the completed form to:
Office of the University Registrar
112 Shields Building
University Park, PA 16802

The following items of information regarding a student's record are considered directory information. Directory information is considered as public information and may be released without the student's prior consent.

- 1. Name
- 2. Address (local, permanent residence and electronic mail)
- 3. Telephone number
- 4. Class level (semester class or level: first-year, sophomore, junior, senior, etc.)

http://www.registrar.psu.edu/student-forms/request-withhold-directory-information.cfm

- 5. Maior
- 6. Student activities
- 7. Weight/height (athletic teams)
- 8. Dates of attendance
- 9. Enrollment status (full-time, part-time, or not enrolled)
- 10. Date of graduation
- 11. Degrees and awards received and where received
- 12. Most recent educational institution attended

Filing this form will preclude the University from releasing directory information to anyone (including yourself) without express written consent. To process this request you must present this form along with **photo identification** to any campus Registrar's office or mail this form along with a copy of **photo identification** to the address listed above

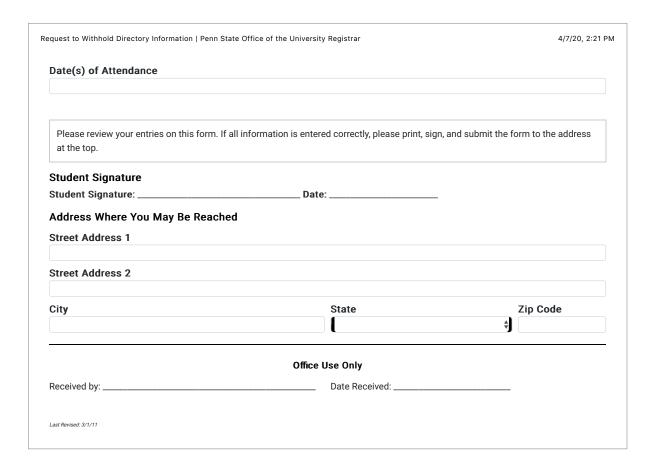
I have read this form carefully and understand the consequences of my decision to prevent release directory information. I understand:

- this prohibits Penn State from acknowledging any information regarding my enrollment to any third party including
  employers, loan deferments, requests from non-institutional persons/organizations, or me unless a written request
  with my signature is received. No information will be released to me or any person(s) via the telephone or email.
- · this does not prevent disclosure to personnel within the University or a lawfully issued subpoena.
- this suppresses my information verbally and in printed form (i.e. campus directory, Web directory, Commencement Program).
- that this is applicable until such time as I request that it be removed, that I must initiate this option by filing a
  request to "Release Directory information" with photo identification.

#### Authorization

PSU ID	
9-digit Penn State ID number	
Email	
	9-digit Penn State ID number

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# B. Primary / Secondary Institutions, Best practices

1. The District of Columbia has an excellent FERPA Notice, and included in the notice package, is a granular opt out form. The District of Columbia includes its opt out form online and on its digital registration system. These are all best practices.

The form is available at: <a href="https://dcps.dc.gov/sites/default/files/dc/sites/dcps/publication/attachments/Notifications%20and%20Disclosures%20SY%2018-19.pdf">https://dcps.dc.gov/sites/default/files/dc/sites/dcps/publication/attachments/Notifications%20and%20Disclosures%20SY%2018-19.pdf</a>.



#### **Notification of Rights under FERPA**

The Family Educational Rights and Privacy Act (FERPA) affords parents and students age 18 or older ("eligible students") certain rights with respect to the student's education records.

- (1) The right to inspect and review the student's education records within 45 days of the day the District of Columbia Public Schools (DCPS) receives a request for access. Parents or eligible students should submit to the school principal a written request that identifies the record(s) they wish to inspect. The school principal or other appropriate school official will make arrangements for access and notify the parent or eligible student of the time and place where the records may be inspected.
- (2) The right to request amendment of the student's education records that the parent or eligible student believes are inaccurate, misleading or otherwise in violation of the student's privacy rights under FERPA. Parents or eligible students may write the school principal, clearly identify the part of the record they want changed, and specify why it should be changed. If DCPS decides not to amend the record as requested by the parent or eligible student, the school will notify the parent or eligible student of the decision and advise them of their right to a hearing regarding the request for amendment. Additional information regarding the hearing procedures will be provided to the parent or eligible student when notified of the right to a hearing.
- (3) The right to consent (in writing) to disclosures of personally identifiable information contained in the student's education records, except to the extent that FERPA authorizes disclosure without consent. For example, DCPS discloses education records without consent to officials of another school or school district in which a student seeks or intends to enroll, or is already enrolled, when such disclosure is requested for purposes of the student's enrollment or transfer. In addition, FERPA authorizes disclosure without consent to school officials whom DCPS has determined to have legitimate educational interests. A school official is a person employed by DCPS as an administrator, supervisor, instructor, or support staff member (including health or medical staff and law enforcement unit personnel); a person or company with whom DCPS has contracted to perform a special task (such as an attorney, auditor, medical consultant, or therapist); an official of another school system where a student seeks or intends to enroll, or where the student is already enrolled; or a parent, student or other volunteer serving on an official committee, such as a disciplinary or grievance committee, or assisting another school official in performing his or her tasks. A school official has a legitimate educational interest if the official needs to review an education record in order to fulfill his or her professional responsibility.
- (4) The right to withhold disclosure of directory information. At its discretion, DCPS may disclose basic "directory information" that is generally not considered harmful or an invasion of privacy without the consent of parents or eligible students in accordance with the provisions of District law and FERPA. Directory information includes:

A. Student Name F. Weight and Height of Members of Athletic Teams

B. Student Address
 C. Student Telephone Listing
 D. Name of School Attending
 G. Diplomas and Awards Received
 H. Student's Date and Place of Birth
 I. Names of Schools Previously Attended

E. Participation in Officially Recognized J. Dates of Attendance

Activities and Sports

Parents or eligible students may instruct DCPS to withhold any or all of the information identified above (i) by completing the attached "Release of Student Directory Information" Form also available at <a href="https://www.dcps.dc.gov/enrolloryourlocalschool">www.dcps.dc.gov/enrolloryourlocalschool</a>).

(5) The right to file a complaint with the U.S. Department of Education concerning alleged failures by DCPS to comply with the requirements of FERPA. The name and address of the office that administers FERPA are: Family Policy Compliance Office, U.S. Department of Education, 400 Maryland Ave. SW, Washington, DC 20202.

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## **Release of Student Directory Information**

The Family Educational Rights and Privacy Act (FERPA) is a federal law that requires DCPS, with certain exceptions, to get your permission before disclosing personally identifiable information from education records. However, DCPS may disclose basic "directory information" that is generally not considered harmful or an invasion of privacy without your consent. The primary purpose of directory information disclosure is to allow DCPS to include this type of information in certain school publications such as pamphlets for drama productions, graduation programs, honor rolls or sports team activity sheets for football, basketball, etc. Directory information can also be disclosed to outside organizations such as federal and state agencies offering jobs and educational benefits, media sources, and companies that make class rings and publish yearbooks.

The information listed below has been designated as directory information under District of Columbia law and FERPA, and may therefore be released at the discretion of DCPS. You have the right to instruct DCPS that it may not release any or all of this information without obtaining your prior written consent by completing this form. Your decision on this form will be valid for the remainder of the current school year. A new Release of Student Directory Information form must be completed each School Year.

Student Name (please print)	s otherwise permissible under FERPA.
,	s otherwise permissible under FERPA.
By signing below I am giving written notification to DCPS items I have placed a check mark beside above unless I g information may still be disclosed by DCPS if disclosure is	give prior written consent. I understand that such
Student Telephone ListingName of School AttendingParticipation in OfficiallyRecognized Activities and SportsWeight and Height of Members of Athletic Team	Diplomas and Awards ReceivedStudent AddressStudent's Date and Place of BirthNames of Schools Previously AttendedDates of Attendance
Participation in Officially	Student's Date and Place of Birth

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### **Parents Right-To---Know Notification**

#### Dear Parent:

In accordance with the Every Student Succeeds Act of 2015, the District of Columbia Public Schools (DCPS) is notifying you that you have the right to request information regarding the professional qualifications of your child's classroom teachers. DCPS is happy to provide this information to you. At any time, you may ask for the following information:

- Whether the teacher has met District of Columbia qualification and licensing criteria for the grade levels and subject areas in which the teacher provides instruction;
- Whether the teacher is teaching under emergency or other provisional status through which District of Columbia qualification or licensing criteria have been waived; and
- Whether the teacher is teaching in the field of discipline of the teacher's certification.

You may also ask, at any time, whether your child is being provided services by paraprofessionals and, if so, their qualifications.

Please direct teacher and paraprofessional qualification requests, and any other questions related to this notice to DC Public Schools at <a href="mailto:deps.hrdataandcompliance@dc.gov">deps.hrdataandcompliance@dc.gov</a> or fax (202) 535-2483.



#### Notification of Rights Under the Protection of Pupil Rights Amendment (PPRA)

This notice informs parents/guardians and eligible students (emancipated minors or those 18 or older) of their rights regarding the conduct of surveys, the collection and use of information for marketing purposes, and the conduct of certain physical exams. These rights are spelled out in the *Protection of Pupil Rights Amendment* (20 U.S.C. § 1232h; 34 CFR Part 98). The law and regulations require educational institutions, such as the District of Columbia Public Schools (DCPS) to notify parents and eligible students of their right to—

- Consent before students are required to submit to a survey that concerns one or more of the following
  protected areas ("protected information survey") if the survey is funded in whole or in part by a program of the
  U.S. Department of Education (USDE):
  - Political affiliations or beliefs of the student or student's parent;
  - Mental or psychological problems of the student or student's family;
  - · Sexual behavior or attitudes;
  - Illegal, antisocial, self-incriminating, or demeaning behavior;
  - Critical appraisals of others with whom respondents have close family relationships:
  - Legally recognized privileged relationships, such as with lawyers, doctors, or ministers;
  - Religious practices, affiliations, or beliefs of the student or parents; and
  - Income, other than as required by law to determine program eligibility.
- 2. Receive notice and an opportunity to opt a student out of—
  - Any other protected information survey, regardless of funding;
  - Any nonemergency, invasive physical exam or screening required as a condition of attendance administered
    by the school or its agent and not necessary to protect the immediate health and safety of a student, except
    for hearing, vision, or scoliosis screening, or any physical exam or screening permitted or required under
    state law: and
  - Any activities involving collection, disclosure, or use of personal information collected from students for
    marketing or to sell or otherwise distribute the information to others. (This does not apply to the collection,
    disclosure, or use of personal information collected from students for the exclusive purpose of developing,
    evaluating, or providing educational products or services for, or to, students or educational institutions.)
- ${\it 3.} \quad \textit{Receive notice} \ \text{of a parent's right to inspect, upon request and before administration or usage of-}\\$ 
  - Protected information surveys of students and surveys created by a third party;
  - Instruments used to collect personal information from students for any of the above marketing, sales, or other distribution purposes; and
  - Instructional material used as part of the educational curriculum.

DCPS has developed and adopted policies regarding these rights, as well as arrangements to protect student privacy in the administration of protected surveys and the collection, disclosure, or use of personal information for marketing, sales, or other distribution purposes. In addition, DCPS provides public access to its Survey Calendar, which notifies parents and eligible students, at the beginning of each school year and on a continuing basis, of the specific or approximate dates of the following activities (along with an opportunity to opt a student out of participating in the activity)—

- $. \\ \hbox{--} I \quad \hbox{Collection, disclosure, or use of personal information for marketing, sales, or other distribution;} \\$
- .- Any nonemergency, invasive physical examination or screening as defined above.

The DCPS policies related to PPRA rights, as well as the Survey Calendar, can be accessed by visiting the following website: <a href="http://dcps.dc.gov/page/conduct-research-or-obtain-confidential-data">http://dcps.dc.gov/page/conduct-research-or-obtain-confidential-data</a>. In addition, parents/guardians and eligible students may also contact their neighborhood school for DCPS policies related to PPRA rights and the Survey Calendar.

Parents/guardians and eligible students who believe their rights have been violated may file a complaint with the—

Family Policy Compliance Office U.S. Department of Education 400 Maryland Avenue, SW Washington, DC 20202-4605

1200 First Street, NE | Washington, DC 20002 | T 202.442.5000 | F 202.442.5097 | www.dcps.dc.gov

2. **Seattle Public Schools** have a PreK-8 combined FERPA annual notice and FERPA opt out form. This particular form also gives notice of other items. It's a good example of a form designed with utility for parents in mind.

FERPA PreK-8

# SEATTLE PUBLIC SCHOOLS (SPS) NOTIFICATION OF RIGHTS UNDER THE FAMILY EDUCATIONAL RIGHTS AND PRIVACY ACT (FERPA) and OPT-OUT FORM

Under the Family Educational Rights and Privacy Act (FERPA), parents/guardians of students under age 18, and students over 18 years of age ("eligible students") have certain rights with respect to student "education records." If the student is 18 years old, even if living with the parent/guardian, the student has all the rights under this Act. These rights are:

- (1) The right to inspect and review their education records within 45 days of the day SPS receives a written request.
- (2) The right to request the amendment of an education record for a student that the parent or eligible student believes is inaccurate, misleading, or is in violation of the student's right to privacy. If SPS decides not to amend the record, SPS will notify the parent/guardian or eligible student of the decision and advise them of their right to a hearing regarding the request for amendment. Additional information regarding the hearing procedures will be provided to the parent/guardian or eligible student when notified of the right to a hearing.
- (3) The right to provide written consent before the school discloses personally identifiable information contained in the education records of a student, except to the extent that FERPA authorizes disclosure without consent. One exception that permits disclosure without consent is disclosure to school officials with legitimate educational interests. A "school official" is a person employed by SPS as an administrator, supervisor, instructor, or support staff member (including health or medical staff and law enforcement unit personnel). A "school official" also may include a volunteer or contractor outside of the school who performs an institutional service or function for which the school would otherwise use its own employees and who is under the direct control of the school with respect to the use and maintenance of personally identifiable information from education records, such as an attorney, auditor, medical consultant, or therapist, a parent or student volunteering to serve on an official committee, such as a disciplinary or grievance committee; or a parent, student, or other volunteer assisting another school official in performing his or her tasks. A school official has a legitimate educational interest if the official needs to review an education record in order to fulfill his or her professional responsibility. Upon request, SPS discloses education records without consent to officials of another school where a student seeks to enroll.
- (4) The right to file a complaint with the U.S. Department of Education concerning alleged failures by SPS to comply with the requirements of FERPA. Written complaints should be directed to Family Policy Compliance Office, U.S. Department of Education, 400 Maryland Avenue S.W., Washington, DC 20202.

**Directory Information:** Under FERPA, SPS may release "directory" information to anyone, including but not limited to parent-teacher organizations, the media, colleges and universities, the military, youth groups, and scholarship grantors, unless you notify SPS in writing that you do not want the information released. The following information is considered directory information: parent/guardian and student name, home address, home telephone number, home email address, student photograph, student date of birth, dates of enrollment, grade level, enrollment status, degree or award received, major field of study, participation in officially recognized activities and sports teams, height and weight of athletes, most recent school or program attended, and other information that would not generally be considered harmful or an invasion of privacy if disclosed.

Release of Directory Information for Students in Grades Pre-Kindergarten to Eight (Pre-K to 8): As a parent/guardian of a pre-kindergarten student, an elementary student, or a middle school student you have the right to choose between two (2) options on whether directory information concerning your student is released or not. Please check one box below and return this form to the school your student attends no later than October 1, a new form may be submitted in a given school year to change your option status for that year. If the parent/guardian does not check one of the boxes or does not return this form, SPS considers the lack of response as consent for box A. A new form may be submitted in a given school year to change your option status for that year.

Revised July, 2019

			FERPA PreK-8
For students in grades Pre-Kir	dergarten through Eight (Pre-K	to 8):	
Please select <b>only one</b> box:			
A. I consent to the release of	the above directory information abo	out the student named below.	
OR  B. I do NOT consent to the reauthorized by law.	elease of the above directory inform	ation about the student named	l below, except as
child's information will not be information shared in any o	y need to be made if you selected Option included in the following unless you compose the below places, please indicate you	plete the section below. If you would are consent below by selecting the	ld like your child's e appropriate option.
address, email)	ssroom Roster Is made available to our fa	imilies, starr and PTSA. YES, Includ	de our information (phone,
	ographs and video may be posted on the sc e posted. YES, my student's photograph at		
☐ Yearbook/Class Photo R class photo	elease YES, I give my consent for my stud	ent's photograph and name to be inc	eluded in the yearbook and
Print Student's Name	Date of Birth	Student's scho	ool ID number
Print Signer's Name	Parent/Guardian/Eligible	Student's Signature	Date
have the right, under the Washington Pu	Request: Pursuant to RCW 28A.320.160, iblic Records Act (RCW 42.56), to request written request, in writing, to: Office of the	the public records regarding school	employee discipline. To file a
If you have more th	A DIRECTLY TO THE STUDENT?  an one student, you must return a separate This form will be retained in your student?	form for each student to each studen	
Revised July, 2019			

# **IV. Examples of FERPA Opt Out Forms**

The following forms are very good examples of quite typical forms that schools are using for their FERPA implementations.

# A. Postsecondary Forms

The Arizona State University FERPA opt form is excellent. The university provides three opt out choices in its form below. ASU also gives students the option of submitting the FERPA opt out form electronically through DocuSign. These are best practices. The DocuSign forms are delivered automatically to the registrar.

In accordance with my righ	ite ae an oliaible etudent und	ARIZONA STATE UNI' UNIVERSITY REGISTRAR	SERVICES	Act of 1974, as amended, 20 U.S.C.
1232g, also known as FEF Registrar's Office website	RPA or the Buckley Amendme	ent (synopsis available in t <u>olicies/ferpa</u> , <b>I hereby rec</b>	he University Registrar's O	ffice and on the ASU University e directory information about me from
Ar	izona State University offers	three options for withholdi	ng your Directory Information	on (Check One):
Option 1: Withhold C Telephone Number (inc addres	cluding ASU email	Option 2: Withhold All of Information	of My Directory O	ption 3: Withhold None of My Directory Information
I understand that directo	ry information includes the	e following:		
including directo  My Local Teleph  My Date of Birth	one Number	nd ASU e-mail,	team)	ndance /eight (If I'm a member of an athletic in Officially Recognized Activities and
<ul><li>All my Degrees a</li><li>My Academic Le</li><li>My Major</li><li>My College</li></ul>	and Awards Received vel		- 1	t Previous Educational Agency or ded
and/or interaction.			-	opropriate to promote class discussion
Student Name (Please Pri	nt)		ASU ID#	Telephone Number
	nt)		ASU ID#	
Student Name (Please Pri	Downtown campus University Center (UCENT) Suite 130 602-496-4372	Polytechnic campus Administration Building (ADMIN) 480-727-1142		
Student Name (Please Prii Student Signature	Downtown campus University Center (UCENT) Suite 130	Administration Building (ADMIN)	Date  Tempe campus Student Services Bldg (SSV) Rm. 140 480-965-3124	Telephone Number  West campus University Center Bldg (UCB) Rm. 101
Student Name (Please Print Student Signature  Registrar Sites: In person:	Downtown campus University Center (UCENT) Suite 130 602-496-4372 University Registrar Services 411 N. Central, Suite 130	Administration Building (ADMIN) 480-727-1142 University Registrar Services 5990 S. Sterling Mall	Date  Tempe campus  Student Services Bldg (SSV) Rm. 140 480-965-3124  University Registrar Services PO Box 870312	West campus University Center Bldg (UCB) Rm. 101 602-543-8203 University Registrar Services PO Box 37100
Student Name (Please Prints Student Signature  Registrar Sites: In person:  By Mail:  By Fax:	Downtown campus University Center (UCENT) Suite 130 602-496-4372 University Registrar Services 411 N. Central, Suite 130 Phoenix, AZ 85004 602-496-0376	Administration Building (ADMIN) 480-727-1142 University Registrar Services 5990 S. Sterling Mall Mesa, AZ 85212 480-727-1008	Date  Tempe campus Student Services Bidg (SSV) Rm. 140 480-965-3124 University Registrar Services PO Box 870312 Tempe, AZ 85287 480-965-7722	West campus University Center Bldg (UCB) Rm. 101 602-543-8203 University Registrar Services PO Box 37100 Phoenix, AZ 85069
Student Name (Please Prints Student Signature  Registrar Sites: In person:  By Mail:  By Fax:  If you have any questions about	Downtown campus University Center (UCENT) Suite 130 602-496-4372 University Registrar Services 411 N. Central, Suite 130 Phoenix, AZ 85004 602-496-0376	Administration Building (ADMIN) 480-727-1142 University Registrar Services 5990 S. Sterling Mall Mesa, AZ 85212 480-727-1008	Date  Tempe campus Student Services Bidg (SSV) Rm. 140 480-965-3124 University Registrar Services PO Box 870312 Tempe, AZ 85287 480-965-7722 sit our website at http://stude	West campus University Center Bldg (UCB) Rm. 101 602-543-8203 University Registrar Services PO Box 37100 Phoenix, AZ 85069 602-543-8312
Student Name (Please Pri	Downtown campus University Center (UCENT) Suite 130 602-496-4372 University Registrar Services 411 N. Central, Suite 130 Phoenix, AZ 85004 602-496-0376 but the use of this form, FERPA,	Administration Building (ADMIN) 480-727-1142 University Registrar Services 5990 S. Sterling Mall Mesa, AZ 85212 480-727-1008 or Directory Holds please vis	Date  Tempe campus Student Services Bidg (SSV) Rm. 140 480-965-3124 University Registrar Services PO Box 870312 Tempe, AZ 85287 480-965-7722 sit our website at http://stude	West campus University Center Bldg (UCB) Rm. 101 602-543-8203 University Registrar Services PO Box 37100 Phoenix, AZ 85069 602-543-8312
Student Name (Please Prints Student Signature  Registrar Sites: In person:  By Mail:  By Fax:  If you have any questions abor Registrar Site for assistance.	Downtown campus University Center (UCENT) Suite 130 602-496-4372 University Registrar Services 411 N. Central, Suite 130 Phoenix, AZ 85004 602-496-0376 but the use of this form, FERPA,	Administration Building (ADMIN) 480-727-1142 University Registrar Services 5990 S. Sterling Mall Mesa, AZ 85212 480-727-1008 or Directory Holds please vis	Date  Tempe campus Student Services Bidg (SSV) Rm. 140 480-965-3124 University Registrar Services PO Box 870312 Tempe, AZ 85287 480-965-7722 sit our website at http://stude	West campus University Center Bldg (UCB) Rm. 101 602-543-8203 University Registrar Services PO Box 37100 Phoenix, AZ 85069 602-543-8312

Rutgers State University of New Jersey has an excellent FERPA opt out form. It is well-designed to be clear and understandable, and includes full contact information for students who need help with the form, which is a best practice.



#### Request for Confidentiality of Student Directory Information

Student record information is confidential and private. In accordance with FERPA, the University does not release student record information without prior written consent of the student except where permitted by law. FERPA allows for the release "directory information" items without prior student consent. To keep your student directory private you must sign this form and return it to the Verifications Division of the appropriate registrar's office listed below. Rutgers defines directory information to be the following:

Name	Permanent (home) telephone number	Dates of degrees
Campus address	School of attendance	Weight and height of intercollegiate athletes
Campus post office address	Major field of study	Most recent previous school attended
Campus telephone number	Class year	Honors and awards
Date of Birth Not released except as required by law or as a validation of positive identification of a student when furnished by a person making an inquiry	Dates of attendance	Participation in officially recognized activities
Rutgers e-mail address	Current credit load	Internships (Applies only to RBHS graduate and professional schools)
RUCS user name / NetID	Credit hours earned	Residency or other post- completion placements (Applies only to RBHS graduate and professional schools)
Permanent (home) address	Degrees received	

Student Attestation: I have read this form carefully and understand the consequences of my decision to prevent release of my directory information. I understand this form:

- suppresses my information verbally and in printed form (i.e. campus directory, Web directory, Commencement Program);
- . does not prevent disclosure to personnel within the University or a lawfully issued subpoena;
- will make information unavailable to prospective employers, insurance companies, and others to whom I
  may want this information known or verified; and
- is applicable until such time as I request that it be revoked that I must initiate this option by filing a request to "Release Directory information" with photo identification.

(signature)		(student ID#)	(date)
New Brunswick Campus Verifications Division Office of the Registrar Administrative Services Bldg 65 Davidson Rd, 200L Piscataway, NJ 08854-8096 Phone: 848-445-2738 Fax: 732-445-5948	Camden Campus Verifications Division Office of the Registrar Armitage Hall 311 North 5 <sup>th</sup> Street Camden, NJ 08102-1499 Phone: 856-225-6053 Fax: 856-225-6453	Newark Campus Verifications Division Office of the Registrar 249 University Avenue Blumenthal Hall Newark, NJ07102-9286 Phone: 973-353-5324 Fax: 973-353-5324	RBHS Office of the Registra Verifications Division 65 Bergen Street Suite 1441 65 Bergen Street Newark, NJ, 07101 Phone: 973-972-5338 Fax: (973) 972-5320
or questions about your di	rectory information, this form r2-8093 or at FERPARU@rutg	and FERPA, please contact the	ne Office of Enterprise

Missouri State University's FERPA opt out form has good detail and it offers some choices. The form includes specific advice for students who have safety concerns. This is a best practice.

#### FERPA HOLD REQUEST FORM Aissouri State. Revised 7/1/15 901 S. National Avenue - Carrington Hall - Room 320 - Springfield, MO 65897 OFFICE OF THE REGISTRAR Ph (417) 836-5520 • Fax (417) 836-6334 Student Name: BearPass #: M LAST FIRST In compliance with the Family Educational Rights and Privacy Act (FERPA), Missouri State University can release only selected information about a student, designated as "Directory Information," without the student's written consent (or as otherwise specifically allowed under FERPA). Directory information, as defined by Missouri State includes the following: Name Dates of attendance, including matriculation, drop, and withdrawal dates Address\* Degrees and certificates received, including date Telephone number\* Campus email address Awards received, including dean's list, scholastic honors, Field of study, including majors, minors, certifications, departmental honors, memberships in national honor and pre-professional areas of study societies, athletic letters, and University-funded Classification (e.g. sophomore) scholarships (excluding those that are need based) Enrollment status (full-time, part-time, or less than part-Previous education institutions attended Participation in officially recognized activities and sports, including photographs of athletes \*The University maintains a number of different address and telephone types for students. Three (residence hall, current mailing, and primary/permanent) are considered directory information. General requests for student addresses (e.g., requests for an "address directory of current students") will be fulfilled by providing one address for each student based on availability according to the following hierarchy: 1) residence hall; 2) current mailing, and 3) primary/permanent. General requests for student telephone numbers will be generated in similar fashion. All non-University contact information provided for purposes of the emergency notification system is not considered directory information. Cell phone numbers, unless provided as a residence hall, current, or primary/permanent telephone number, are not considered directory information. A FERPA hold may be requested by currently enrolled students. This non-disclosure option means that the University may not release any directory information about the student (except as permitted under the provisions of FERPA.) The University may not even acknowledge to third parties that the person is a student at the institution. Generally, University officials will have routine access to review the student's information. In accordance with the Missouri Sunshine Law, the University is required to release student directory information. To be excluded from any Sunshine Law requests, student must submit a written request for a FERPA hold by the end of the second week of the semester. Following the second week of the semester, submitting the request for a FERPA hold will exclude students only from the online directory. In the course of daily business, the University generates a number of reports and documents (e.g., bills, grade reports, lists of majors within a given department, etc.) with student information, including address and telephone. Generally, students with a FERPA Hold are not excluded from these reports. If you feel that your personal safety is at risk should others learn your address, you are encouraged to consider obtaining a post office box for use in place of your personal address. Additionally, in the course of daily academic activity, the University may combine class sections in-person or online to enhance the educational learning environment. If you believe your participation in this combined class jeopardizes your personal safety, you are encouraged to contact your instructor or the Office of the Registrar. Please read and initial below to indicate that you understand the following: I wish to have a FERPA hold placed on my record. When I or anyone else calls the University regarding my educational record, including verification of enrollment status or degree(s) awarded, the reply will be similar to this, "I cannot give you information on that individual." A FERPA hold does not apply to any past publication or release of information. In making this request. I have reviewed the above information. I understand that FERPA does provide for release of information under certain conditions, even if a hold is on my record. I also understand that this request will remain in effect until I rescind it in writing with the Office of the Registrar. Student Signature: Date:

# **B. Primary / Secondary Forms**

This is an example of a FERPA opt out form located in a handbook. It is not unusual to find FERPA information in student handbooks. This particular FERPA form was in an online handbook, integrated into the surrounding text, making parents' practical ability to utilize the handbook form to make a readily printable FERPA opt out form challenging.

•	
Directory Information Refusal Form	
"Directory Information" as defined by County Schools, includes the following categories: Student name, address, telephone number, date and place of birth, major field of study, participation in officially recognized activities and sports, weight and height of members of athletic teams, dates of attendance, indication of	(Specific Categories)
"graduate" or "non-graduate", degrees and awards received, most recent previous school attended, and photograph.	(Name of School)
Once such information is published as Directory Information, it may be disclosed at the discretion of the school system without parent/guardian or student permission.	(Student Name)
If you so refuse, you must inform the school in writing by September 30th.	
Please use the following form for informing the school of the specific categories in the Directory Information that you do not want released without your written approval.	(Birth date)
I refuse to permit the designation of the following information as  Directory Information:	(Signature Parent/Guardian/Eligible Student)
	(Date)

WITHOUT CONSENT 122 WORLD PRIVACY FORUM

This FERPA form allows for specific opt out regarding photos and videos. This is a best practice.



#### PROVO CITY SCHOOL DISTRICT

FERPA (Family Education Rights and Privacy Act)
& OPT OUT FORM

#### **Notice for Photos and Videos**

Your child may be photographed or video taped at school. The pictures and videos may be displayed, used on newsletters, web sites, TV, newspapers and/or social media to honor student achievement and promote school programs. Please indicate if you would like to opt out from having your child's photo or video used in the following settings: Please be aware that signed requests are required annually

☐ Yes ☐ No	Web Sites & Social Media - District, School, other
☐ Yes ☐ No	Television & Newspapers
☐ Yes ☐ No	Newsletters
☐ Yes ☐ No	In-School Displays

#### **Notice for Directory Information**

The Family Educational Rights and Privacy Act (FERPA), a Federal law, requires that Provo School District, with certain exceptions, obtain your written consent prior to the disclosure of personally identifiable information from your child's education records. However, Provo School District may disclose appropriately designated "directory information" without written consent, unless you have advised the District to the contrary in accordance with District procedures. The primary purpose of directory information is to allow the Provo School District to include this type of information from your child's education records in certain school publications. Examples include:

- A playbill, showing the role in a drama production;
- The annual yearbook;
- · Honor roll or other recognition lists;

- Graduation programs; and
- Sports activity sheets, such as for wrestling, showing weight and height of team members.

Directory information, which is information that is generally not considered harmful or an invasion of privacy if released, can also be disclosed to outside organizations without a parent's prior written consent. Outside organizations include, but are not limited to, companies that manufacture class rings or publish yearbooks. In addition, two federal laws require local educational agencies (LEAs) receiving assistance under the Elementary and Secondary Education Act of 1965 (ESEA) to provide military recruiters, upon request, with three directory information categories - names, addresses and telephone listings - unless parents have advised the LEA that they do not want their student's information disclosed without their prior written consent. If you DO NOT want Provo School District to disclose directory information from your child's education records without your prior written consent, you must notify the District in writing NO LATER THEN SEPTEMBER 15th. Provo School District has designated the following information as directory information: Please be aware that signed requests are required annually

- Student's name
- Address
- Telephone listing
- Electronic mail address
- Date and place of birth
- Grade level
- · Dates of attendance

- Photograph
- · Weight and height of members of athletic teams
- Degrees, honors, and awards received
- Major field of study
- The most recent educational agency or institution attended
- · Participation in officially recognized activities and sports

☐ I do not wish to have directory information	released.
***************************************	***************************************
Student's Name	DOB
School	Grade
Parent's Name	Signature
	Date
Submit to: Provo City School Dis	strict Attn: Student Services 280 West 940 North Provo UT 84604

The Houston Independent School District privacy code offers granular FERPA opt out, which is a best practice.

#### HOUSTON INDEPENDENT SCHOOL DISTRICT

#### PRIVACY CODE: STUDENT RECORDS, RIGHTS, AND RESPONSIBILITIES

**Student Records:** State law requires the Houston Independent School District (HISD) to maintain an education record for each student attending its schools. These records contain identifying data pertaining to the student and may include information concerning demographics, grades, attendance, health, discipline, guidance, assessment, and appraisals.

**Access to Records:** In addition to HISD employees, who have a legitimate educational interest in a student's records, parents, guardians, and the student are the only persons who have access to student records maintained by the district.

Both parents—married, separated, or divorced—have access to a student's records until the student becomes 18 years of age and is no longer a dependent student under Section 152 of the Internal Revenue Code. A parent's rights to access student records may be restricted by a court order. Legal guardians have the same rights of access as parents. Parents and students may review records during regular school hours by contacting their school principal.

After the student becomes 18 and is no longer a dependent, only the student has access to his or her records. However, that student may consent to others having access.

Under certain restricted conditions, other individuals may review a student's records. These conditions include:

- · Other schools to which a student is transferring.
- · Specified officials for audit or evaluation purposes.
- Appropriate parties in connection with financial aid to a student.
- Accrediting organizations.
- State and local juvenile justice system authorities pursuant to state law.
- Appropriate officials in cases of health and safety emergencies.

Records may also be reviewed to comply with a judicial order or lawfully issued subpoena provided the parent and student received notice before compliance. No other persons are allowed to review a student's records without either permission of the parent or that of the student if over 18 years of age.

**Challenge to Content of a Record**: If a parent or a student over 18 feels that the student record contains information which is misleading, incorrect, or a violation of the privacy or other rights of the student, that person may challenge the contents of the record in an informal hearing. To initiate this procedure, contact your school principal.

**Copies:** A student 18 years of age or over or a parent or guardian of a student under 18 years of age requesting copies of his or her child's official district records for a purpose other than the transaction of the official business of the district shall pay 10 cents a page for each copy. A limit of three high-school transcripts will be provided free to post-secondary schools. Each additional copy will cost \$1. The Inactive Student Records Department microfilms high-school transcripts for permanent retention.

**Special Education Records:** The district maintains Special Education records for seven years after the last date of service and then destroys the records in accordance with state law. A "Notice of Destruction of Special Education Records" is published annually through the district's website (www.houstonisd.org) advising the parent or adult student how they may request a copy of the records before they are destroyed. It is important that the parent or adult student keep a copy of all Special Education records for use in later years.

**Complaints:** Parents or a student over the age of 18 have the right to file a complaint with the U.S. Department of Education concerning alleged failures of the district to comply with the provisions of the Family Education Rights and Privacy Act of 1974.

HISD Office Of Student Support | July 2017

#### HOUSTON INDEPENDENT SCHOOL DISTRICT

#### PRIVACY CODE: STUDENT RECORDS, RIGHTS, AND RESPONSIBILITIES

Directory Information: Certain information about district students is considered directory information and will be released to anyone who follows the procedures for requesting the information for school-sponsored purposes.

Directory information may include the following:

- Student name
- Address
- Telephone listing
- Date and place of birth
- Photograph
- Major field of study
- Participation in officially recognized activities and sports
- Weight and height of members of athletic team
- Dates of attendance
- Awards received
- Most recent previous school attended by a student

If you DO NOT want to release directory information regarding your child, please check the appropriate box on the Privacy Code Form below and return it to your child's school.

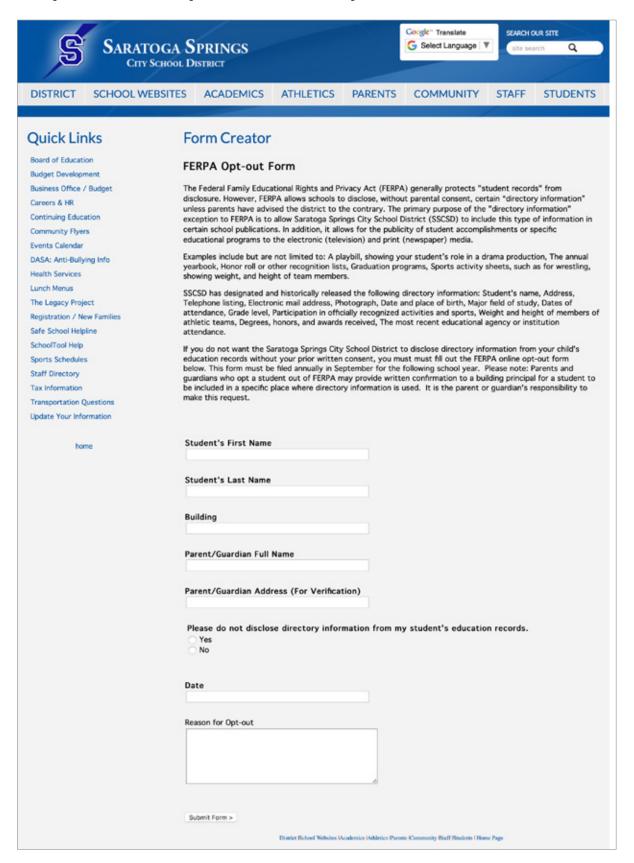
Military Recruitment/Higher Education: Public Law 107-110 requires school districts receiving assistance under the No Child Left Behind Act of 2002 to provide a military recruiter or an institution of higher education, on request, with the name, address, and telephone number of a secondary student unless the parent has advised the district that the parent does not want the student's information disclosed without the parent's prior written consent.

If you DO NOT want your child's directory information released to military recruiters or institutions of higher education without your specific, prior, written consent, check the appropriate box on the Privacy Code Form below and return it to your child's school.

#### PRIVACY CODE FORM

Please check all boxes below that a	apply.
I have received the Notice of Student Rights and Responsibilitie Maintained by the Houston Independent School District.	s with Respect to Student Records
I request that Houston ISD NOT release any directory information required by law.	on regarding my child, except as
I request that Houston ISD NOT release my child's name, addre recruiter or an institution of higher education, without my specific written	· · · · · · · · · · · · · · · · · · ·
Student's Name	_Student's Date of Birth
Students' School	Student's Grade
Name of Parent/Guardian	Date:
Parent/Guardian Signature	
HISD Office Of Student Support   July	2017

125 CONSENT NOT REQUIRED WORLD PRIVACY FORUM This opt out form can be completed online. This is a best practice.



# V. Resources for Schools, Students, and Parents

This list of resources is for parents, students, and educators. This list includes key education-related organizations, FERPA guidance, as well as resources regarding health privacy, including in emergency situations.

#### **Key Education-Related Agencies, Associations, Organizations, Commissions:**

#### U.S. Department of Education

https://www.ed.gov

#### The Office for Civil Rights (OCR) at the U.S. Department of Education

https://www2.ed.gov/about/offices/list/ocr/index.html

#### National Association of State Boards of Education

See in particular NASBE resources on privacy

http://www.nasbe.org and http://www.nasbe.org/project/education-data-privacy/nasbe-resources/

#### **Council of Chief State School Officers**

https://ccsso.org

#### **National Governors Association**

https://www.nga.org

and the NGA's Best Practices for Education at: <a href="https://www.nga.org/bestpractices/divisions/education/">https://www.nga.org/bestpractices/divisions/education/</a>

#### **Individuals with Disabilities Education Act (IDEA)**

IDEA is a law that makes a free and appropriate "public education available to eligible children with disabilities throughout the nation and ensures special education and related services to those children." IDEA has a strong focus on protecting the rights of students and parents. The Department of Education maintains the IDEA web site.

https://sites.ed.gov/idea/

#### **Federal Commission on School Safety**

https://www.ed.gov/school-safety

#### **Key Resources for Student and Parent Privacy at Schools**

#### **Privacy Technical Assistance Center (PTAC)**

The Privacy Technical Assistance Center (PTAC) is part of the Department of Education. PTAC maintains numerous resources regarding FERPA and privacy, and they will also respond to questions. <a href="https://studentprivacy.ed.gov/request-ptac-training-or-technical-assistance">https://studentprivacy.ed.gov/request-ptac-training-or-technical-assistance</a>

#### Department of Education's Student Privacy Policy Office (SPPO)

(The SPPO administers FERPA.)

Contact:

https://www.ed.gov/policy/gen/guid/fpco/index.html

1-855-249-3072

https://studentprivacy.ed.gov/contact

#### Most Requested Privacy-Related Documents of Department of Education:

https://studentprivacy.ed.gov/most-requested-documents

#### Where to File a Complaint / Ask a Question

#### General:

#### Filing a Complaint with the US Department of Education:

Complaints are filed at the Office of Civil Rights at the U.S. Department of Education. <a href="https://www2.ed.gov/about/offices/list/ocr/complaintintro.html">https://www2.ed.gov/about/offices/list/ocr/complaintintro.html</a>

#### **Discrimination in Education:**

# Department of Education OCR's Outreach, Prevention, Education and Non-discrimination (OPEN) Center:

Contact: OPEN@ed.gov

(800) 421-3481 (TDD: 800-877-8339)

You may also fill out a complaint form online: https://www.ed.gov/ocr/complaintintro.html

#### **Privacy:**

#### **Complaints, or Questions:**

File a complaint about a problem with FERPA or ask a question about FERPA. <a href="https://studentprivacy.ed.gov/contact">https://studentprivacy.ed.gov/contact</a>

### Resources for Issues Related to School Emergencies and Exceptional Circumstances, General

Schools regulated under FERPA have rules and processes in place for significant national emergencies such as major hurricanes, earthquakes, and pandemics.

#### Readiness and Emergency Management for Schools (REMS)

REMS is a part of the Department of Education that addresses a variety of biological hazards within K-12 schools. Issues include Coronavirus, or COVID 19, contaminated food outbreaks, other infectious diseases, toxic materials, and other biological hazards.

https://rems.ed.gov/Resources\_Hazards\_Threats\_Biological\_Hazards.aspx

#### Resources Specific to COVID-19 and other Health-Related Emergencies at Schools

Schools regulated under FERPA have rules and processes in place for significant national emergencies such as major hurricanes, earthquakes, and pandemics. This section is focused on health, privacy, and student and parent rights related to COVID-19.

#### FERPA and the Coronavirus Disease 2019 (COVID-19)

https://studentprivacy.ed.gov/resources/ferpa-and-coronavirus-disease-2019-covid-19

# COVID-19 Emergency Fact Sheet: Addressing the Risk of COVID-19 in Schools While Protecting the Civil Rights of Students

U.S. Department of Education: <a href="https://www2.ed.gov/about/offices/list/ocr/docs/ocr-coronavi-rus-fact-sheet.pdf">https://www2.ed.gov/about/offices/list/ocr/docs/ocr-coronavi-rus-fact-sheet.pdf</a>

Providing Services to Students with Disabilities During the COVID-19 Outbreak: <a href="https://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/qa-covid-19-03-12-2020.pdf">https://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/qa-covid-19-03-12-2020.pdf</a>

#### A Selection of additional Resources from REMS:

- Infectious Disease/Pandemic Outbreak: Response, Memorandum of Understanding, Tips for Parents, and Parent Letters, Durango School District 9-R (primary/secondary) https://rems.ed.gov/docs/repository/REMS 000089 0001.pdf
- Pandemic Influenza Plan, Lancaster City Schools (primary/secondary) https://rems.ed.gov/docs/repository/REMS\_000093\_0001.doc
- Pandemic Influenza Response Plan, Page County Office of Emergency Management (primary/secondary)
   <a href="https://rems.ed.gov/docs/repository/REMS\_000093\_0002.pdf">https://rems.ed.gov/docs/repository/REMS\_000093\_0002.pdf</a>
- *Pandemic Influenza Severity Levels and Response*, Whitman College (postsecondary) <a href="https://rems.ed.gov/docs/repository/REMS\_000075\_0001.pdf">https://rems.ed.gov/docs/repository/REMS\_000075\_0001.pdf</a>
- *Threat- and Hazard-Specific Annexes*, Iowa Department of Education (K-12) https://rems.ed.gov/docs/2017Toolbox/IA\_Threat- and Hazard-Specific Annexes.docx